

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant: Andrea W. Chow, et al.

Application No.: 09/922,224

Filed: August 2, 2001

Entitled: METHODS AND DEVICES FOR HIGH THROUGHPUT FLUID DELIVERY

Caliper Technologies Corp., a

corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_, Frame \_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

11/8/01  
Date

J. Wallace Parce  
Signature  
J. Wallace Parce  
Typed or printed name  
Vice President of Research  
Title

RECEIVED  
OPIE/JCWS

MAR 17 2005

RECEIVED



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

RECEIVED

APR 01 2002

MARCH 22, 2002

PTAS

Quine Intellectual Property Law Group, P.C.

Chief Information Officer  
Washington, DC 20231  
[www.uspto.gov](http://www.uspto.gov)

LAW OFFICES OF JONATHAN ALAN QUINE  
CHRISTOPHER C. SAPPENFIELD  
P.O. BOX 458  
ALAMEDA, CA 94501



\*101964940A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/08/2002

REEL/FRAME: 012507/0422  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CHOW, ANDREA W.

DOC DATE: 11/08/2001

ASSIGNOR:

KOPF-SILL, ANNE R.

DOC DATE: 11/08/2001

ASSIGNOR:

PARCE, J. WALLACE

DOC DATE: 10/31/2001

ASSIGNOR:

DUBROW, ROBERT S.

DOC DATE: 10/05/2001

ASSIGNEE:

CALIPER TECHNOLOGIES CORP.  
605 FAIRCHILD DRIVE  
MOUNTAIN VIEW, CALIFORNIA 94043

SERIAL NUMBER: 09922224  
PATENT NUMBER:

FILING DATE: 08/02/2001  
ISSUE DATE:

012507/0422 PAGE 2

KIMBERLY WHITE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

01-30-2002



Attorney Docket No. 01-051710US

FD-1595  
(Rev. 6-93)

101964940

U.S. Department of Commerce  
Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof

## 1. Name of conveying party(ies):

Andrea W. Chow  
Anne R. Kopf-Sill  
J. Wallace Parce  
Robert S. Dubrow

Additional name(s) of conveying parties attached? No

## 2. Name and address of receiving party(ies)

Name: Caliper Technologies Corp.

Internal Address:

Street Address:

605 Fairchild Drive, Mountain View, CA 94043 USA

## 3. Nature of conveyance:

- X Assignment Merger 1.8.02
- ☐ Security Agreement ☐ Change of Name
- ☐ Other:

Execution Date: October 5, 2001; October 31, 2001;  
November 8, 2001

Additional names and addresses attached? No

## 4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 09/922,224  
Additional numbers attached? No

B. Patent No(s):

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name:

Law Offices of Jonathan Alan Quine  
P.O. Box 458  
Alameda, CA 94501  
(510) 337-7871

## 6. Total number of applications and patents involved 1

## 7. Total fee (37 CFR 3.41): -----\$40.00

☐ Enclosed X Charge Fees to Deposit Account

X Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 50-0893

DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.*Christopher C. Sappenfield  
Name of Person Signing  
Signature11-13-2001  
Date

Atty Reg. No. 45,073

Total number of pages including cover sheet, attachments and document 2

10. Change Correspondence Address to that of Part 5? X Yes ☐ No

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover to:

01/29/2002 6TOM11 00000111 500893 09922224

01 FC:581 40.00 CH

Asst. Commissioner for Patents  
Box: Assignments  
Washington, D.C. 20231

Attorney Docket No.: 01-051710US  
Client Reference No.: 100/09010US

## ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Andrea W. Chow, of 670 Cuesta Drive, Los Altos, California 94024 USA, Anne R. Kopf-Sill of 30 Minoca Road, Portola Valley, CA 94028 USA, J. Wallace Parce of 754 Los Robles Avenue, Palo Alto, CA 94306 USA, Robert S. Dubrow, 766 Orange Avenue, San Carlos, CA 94070 USA, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:   METHODS AND DEVICES FOR HIGH  
THROUGHPUT FLUID DELIVERY

Filing Date:                   August 2, 2001

Application No.:               09/922,224; and

WHEREAS, Caliper Technologies Corp., a Delaware corporation, located at 605 Fairchild Drive, Mountain View, CA 94043 USA, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

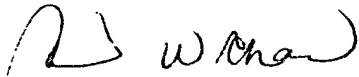
For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and which claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

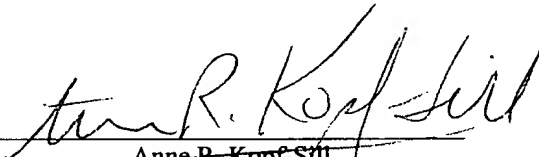
Assignment  
Application No.: 09/922,224  
Page 2

IN TESTIMONY WHEREOF, Assignors have signed his/her name on the date indicated.


Dated: 11/8/01

  
\_\_\_\_\_  
Andrea W. Chow

Dated: 11/8/01

  
\_\_\_\_\_  
Anne R. Kopf-Sill

Dated: 10/31/01

  
\_\_\_\_\_  
J. Wallace Parce

Dated: 10/5/01

  
\_\_\_\_\_  
Robert S. Dubrow